

EXAM QUANTUM THEORY, 29 JANUARY 2024, 9–12 HOURS.

1. In this question we address the edge state responsible for the quantum spin Hall effect. Consider the Dirac Hamiltonian

$$\hat{H} = v\hat{p}\sigma_x,$$

which describes the free motion of a massless fermion along the  $x$ -axis. The momentum operator  $\hat{p} = -i\hbar d/dx$ , the Pauli matrix  $\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ , and  $v$  is a parameter with the dimension of velocity.

- *a)* Explain why this Hamiltonian satisfies time-reversal symmetry.
- *b)* Calculate the energy-momentum relation  $E(p)$  (the dispersion relation). Plot it and indicate on which part of the graph the particle moves towards positive  $x$  and on which part it moves towards negative  $x$ .
- *c)* Suppose we add to the Hamiltonian a potential  $V(x)$  times the identity matrix. Explain why this potential cannot cause backscattering, meaning that it cannot cause the particle to reverse its direction of motion.

*Hint: Recall Kramers theorem.*

2. Assume that the Hamiltonian  $H = H_0 + V$  is the sum of two time-independent Hermitian operators  $H_0$  (called the “free” part) and  $V$  (called the “interaction” part). In the so-called “interaction picture” the time-dependent state  $\Psi(t)$  and operator  $A$  are transformed as

$$\Psi_I(t) = e^{iH_0t/\hbar}\Psi(t), \quad A_I(t) = e^{iH_0t/\hbar}Ae^{-iH_0t/\hbar}.$$

- *a)* Explain why the transformation to the interaction picture has no effect on the expectation value  $\bar{A}(t) = \langle \Psi(t) | A | \Psi(t) \rangle$  of an operator  $A$  in the state  $\Psi(t)$ , so that we may equally well write  $\bar{A}(t) = \langle \Psi_I(t) | A_I(t) | \Psi_I(t) \rangle$ .
- *b)* Derive the Heisenberg equation of motion in the interaction picture:

$$i\hbar \frac{d}{dt} A_I = [A_I, H_0].$$

- *c)* Show, starting from the Schrödinger equation for  $\Psi(t)$ , that the evolution equation for  $\Psi_I(t)$  can be written in the form

$$i\hbar \frac{d}{dt} \Psi_I(t) = V_I(t) \Psi_I(t),$$

without any explicit dependence on  $H_0$ .

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3. The dimensionless position and momentum operators can be written in terms of the bosonic creation and annihilation operators  $\hat{a}^\dagger$  and  $\hat{a}$  (with commutator  $[\hat{a}, \hat{a}^\dagger] = 1$ ), as follows:

$$\hat{x} = \sqrt{\frac{1}{2}}(\hat{a}^\dagger + \hat{a}), \quad \hat{p} = \sqrt{\frac{1}{2}}i(\hat{a}^\dagger - \hat{a}).$$

The vacuum state  $|0\rangle$  is defined by  $\hat{a}|0\rangle = 0$ . Note that the expectation values of  $\hat{x}$  and  $\hat{p}$  vanish in the vacuum state.

- a) Derive the minimal uncertainty relation in the vacuum state,

$$\langle 0|\hat{x}^2|0\rangle\langle 0|\hat{p}^2|0\rangle = \frac{1}{4}.$$

The  $N$ -particle Fock state  $|N\rangle$ , normalized to  $\langle N|N\rangle = 1$ , is defined by  $\hat{a}^\dagger \hat{a}|N\rangle = N|N\rangle$ , with  $N = 1, 2, 3, \dots$

- b) Derive, starting from this definition, the recursion relation

$$\hat{a}^\dagger|N\rangle = (N + 1)^{1/2}|N + 1\rangle.$$

- c) Explain why the recursion relation implies that the expectation values of  $\hat{x}$  and  $\hat{p}$  are zero in a Fock state. Then show that a Fock state is not a state of minimal uncertainty, by deriving that

$$\langle N|\hat{x}^2|N\rangle\langle N|\hat{p}^2|N\rangle = \frac{1}{4}(2N + 1)^2.$$

4. The Bohr-Sommerfeld quantization condition reads

$$\frac{1}{\hbar} \oint p \cdot dq + \gamma = 2\pi n, \quad n = 0, 1, 2, \dots$$

We would like to apply this to the periodic cyclotron motion of an electron (charge  $e$ , mass  $m$ ) in a plane perpendicular to a magnetic field  $B$ .

Alvaro thinks he knows the answer: The cyclotron orbit is a circle of radius  $l_{\text{cycl}} = m\nu/eB$ , the kinetic energy is  $E = \frac{1}{2}m\nu^2$ , so

$\oint p \cdot dq = m\nu \times 2\pi l_{\text{cycl}} = 4\pi mE/eB$ , which gives the quantization

$E_n = \frac{1}{2}\hbar\omega_c(n - \gamma/2\pi)$ , with  $\gamma = -\pi$  from two turning points.

*This is the wrong answer.*

- a) Which error has Alvaro made?
- b) Give the correct calculation.
- c) How would the quantization differ if the electrons are massless, as they are in graphene?